

# Exact controllability of a one-dimensional wave problem with a unilateral constraint at the boundary

## Control

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# The control problem

Consider the system

$$\left\{ \begin{array}{ll} y'' - y_{xx} = 0, & (0, T) \times (0, 1), \\ y(t, 0) = u(t), & t \in (0, T), \\ y(t, 1) \geq \psi(t), \quad y_x(t, 1) \geq 0, & t \in (0, T), \\ (y(t, 1) - \psi(t))y_x(t, 1) = 0, & t \in (0, T), \\ y(0, x) = y^0(x), \quad y'(0, x) = y^1(x), & x \in (0, 1). \end{array} \right.$$

with  $(y^0, y^1) \in H^1 \times L^2(0, 1)$ ,  $u \in L^2(0, T)$ ,  $\psi \in H^1(0, T)$ .

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with  $(y^0, y^1) \in H^1 \times L^2(0, 1)$ ,  $u \in L^2(0, T)$ ,  $\psi \in H^1(0, T)$ .

We look for a  $T_0 \geq 0$  such that for any  $(z^0, z^1) \in H^1 \times L^2(0, 1)$  :

$$\forall T > T_0, \forall (y^0, y^1) \in H^1 \times L^2(0, 1), \exists u \in L^2(Q_T)^m, \\ (y, y')(T) = (z^0, z^1) \text{ in } (0, 1).$$

# Existence results

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- **Asymptotic behaviour:** Rivera - Oquendo (Funk. Ekvac., 1999) with an internal dissipation.

# Controls for a linear problem

In the sequel:  $\psi \leq 0$  and  $z^0 = z^1 = 0$ .

$$\left\{ \begin{array}{ll} \phi'' - \phi_{xx} = 0, & (t, x) \in Q_T, \\ \phi(t, 0) = u(t), & t \in (0, T), \\ \phi(t, 1) = f(t), & t \in (0, T), \\ \phi(0, x) = \phi^0(x), \quad \phi'(0, x) = \phi^1(x), & x \in (0, 1) \end{array} \right.$$

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$$U = U(\phi^0, \phi^1, f) = \{u; (\phi, \phi')(T) = 0\}.$$

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- **Step 2:** For any  $u \in U$ , we compute the **Dirichlet-Neumann map**

$$f \longmapsto Af = \phi_x(\cdot, 1)$$

# Controls for a linear problem

The change of variables

$$p = \phi' - \phi_x, \quad q = \phi' + \phi_x$$

transforms the system into

$$\begin{cases} p' + p_x = q' - q_x = 0, & Q_T, \\ (p + q)(\cdot, 0) = 2u', & (0, T), \\ (p + q)(\cdot, 1) = 2f' & (0, T), \\ p^0 = \phi^1 - \phi_x^0, \quad q^0 = \phi^1 + \phi_x^0, & (0, 1). \end{cases}$$

We solve this system with [the characteristics method](#).

# Step 1

## Proposition

Let  $T \in (2, 3)$ . Then  $\forall (p^0, q^0, f) \in L^2(0, 1)^2 \times H^1(0, T)$ , we have

$(p, q)(T) = 0$  in  $(0, 1)$  *if and only if*

$$\left\{ \begin{array}{ll} u'(t) = f'(t+1) + \frac{1}{2}q^0(t) & T-2 < t < 1 \\ u'(t) = f'(t+1) + f'(t-1) - \frac{1}{2}p^0(2-t) & 1 < t < T-1 \\ u'(t) = f'(t-1) - \frac{1}{2}p^0(2-t) & T-1 < t < 2 \\ u'(t) + u'(t-2) = f'(t-1) + \frac{1}{2}q^0(t-2) & 2 < t < T \end{array} \right.$$

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PROOF: We solve the system with the characteristics method and find  $u'$  in such a way that  $p(T) = q(T) = 0$ .

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PROOF: We solve the system with the characteristics method and find  $u'$  in such a way that  $p(T) = q(T) = 0$ .

We denote by  $U$  the set of  $u$  satisfying these relations.

## Step 2

### Proposition

Let  $T \in (2, 3)$ ,  $(\phi^0, \phi^1) \in H^1(0, 1) \times L^2(0, 1)$  and  $u \in U$ . Let  $A : H^1(0, T) \rightarrow L^2(0, T)$  the Dirichlet-Neumann map defined by  $Af = \phi_x(\cdot, 1)$ . *Then*

$$\begin{aligned} Af(t) &= \begin{cases} f'(t) - p^0(1-t) & \text{a. e. } 0 < t < 1 \\ f'(t) - 2u'(t-1) - q^0(t-1) & \text{a. e. } 1 < t < T-1 \\ -f'(t) & \text{a. e. } T-1 < t < T \end{cases} \\ &= \begin{cases} f'(t) - v(t) & \text{a. e. } 0 < t < T-1 \\ -f'(t) & \text{a. e. } T-1 < t < T \end{cases} \end{aligned}$$

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PROOF: With the assumption on  $u$ , it suffices to note that

$$\phi_x(t, 1) = \frac{q(t, 1) - p(t, 1)}{2}$$

# Two methods

## Penalization method

We consider the penalized system:

$$\begin{cases} y_\varepsilon'' - y_{\varepsilon,xx} = 0, & (0, T) \times (0, 1) \\ y_\varepsilon(t, 0) = u_\varepsilon(t) & t \in (0, T) \\ y_{\varepsilon,x}(t, 1) = \varepsilon^{-1} [y_\varepsilon(t, 1) + l]^- & t \in (0, T) \\ y_\varepsilon(0, x) = y^0(x), \quad y_\varepsilon'(0, x) = y^1(x), & x \in (0, 1) \end{cases}$$

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The problem is to find  $u_\varepsilon$  such that  $y_\varepsilon(T) = y_\varepsilon'(T) = 0$  for some  $T > 2$ .

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- Step 2: uniform estimates of  $(u_\varepsilon, y_\varepsilon, y_\varepsilon', y_\varepsilon'')$  with respect to  $\varepsilon$ .
- Step 3: pass to the limit (as in Lebeau-Schatzman or Kim) to obtain a solution of our control problem.

# Two methods

Penalization method: fixed point technique

- Start with the system

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with  $u \in U$ . We have:  $\phi(T) = \phi'(T) = 0$ .

- With these controls  $u$  (depending on  $f, \phi^0, \phi^1$  only on  $(T - 2, T)$ ), we get a solution of our penalized problem by solving the equation

$$Af_\varepsilon = \varepsilon^{-1} [f_\varepsilon + I]^-$$

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Indeed, for  $u \in U$

$$Af(t) = \begin{cases} f'(t) - p^0(1-t) & \text{a.e. } 0 < t < 1 \\ f'(t) - 2u'(t-1) - q^0(t-1) & \text{a.e. } 1 < t < T-1 \\ -f'(t) & \text{a.e. } T-1 < t < T \end{cases}$$

And thus, the equation  $Af_\varepsilon = \varepsilon^{-1} [f_\varepsilon + l]^-$  becomes the differential equation

$$f'_\varepsilon(t) = \begin{cases} \varepsilon^{-1} [f_\varepsilon(t) + l]^- + p^0(1-t) & \text{a.e. } 0 < t < 1 \\ \varepsilon^{-1} [f_\varepsilon(t) + l]^- + 2u'(t-1) + q^0(t-1) & \text{a.e. } 1 < t < T-1 \\ -\varepsilon^{-1} [f_\varepsilon(t) + l]^- & \text{a.e. } T-1 < t < T \end{cases}$$

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- The problem is to construct a uniformly bounded family of solution  $(f_\varepsilon)$  with respect to  $\varepsilon$ .
- This is done with a suitable choice of  $u$  on  $(0, T-2)$ .

- Consider the system

$$\left\{ \begin{array}{l} \phi'' - \phi_{xx} = 0, \\ \phi(t, 0) = u(t), \\ \phi(t, 1) = f(t), \\ \phi(0, x) = \phi^0(x), \quad \phi'(0, x) = \phi^1(x), \end{array} \right. \quad \begin{array}{l} (t, x) \in Q_T, \\ t \in (0, T), \\ t \in (0, T), \\ x \in (0, 1) \end{array}$$

with  $u \in U$ . We have:  $\phi(T) = \phi'(T) = 0$ .

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- With these controls  $u$  (depending on  $f, \phi^0, \phi^1$  only on  $(T-2, T)$ ), we get a solution of our problem by solving

$$f(t) - \psi(t) \geq 0, \quad Af(t) \geq 0, \quad (f(t) - \psi(t)) Af(t) = 0, \quad t \in (0, T).$$

with  $f(0) = \phi^0(1)$ .

These relations write

$$\begin{cases} f(t) - \psi(t) \geq 0, \\ f'(t) - v(t) \geq 0, \\ (f(t) - \psi(t))(f'(t) - v(t)) = 0, \\ f(0) = \phi^0(1) \end{cases}, \quad t \in (0, T-1),$$

and

$$\begin{cases} f(t) - \psi(t) \geq 0, \\ f'(t) \leq 0, \\ (f(t) - \psi(t))f'(t) = 0 \end{cases}, \quad t \in (T-1, T),$$

We solve this differential inequation using the following consequence of a result by Bénéilan-Pierre (1978):

## Proposition

Let  $h \in H^1(0, T)$  and  $\theta_0 \geq h(0)$ . Then

$$\theta(t) = \max \left( \theta_0, \sup_{0 \leq s \leq t} h(s) \right), \quad t \in [0, T[$$

is the unique solution in  $H^1(0, T)$  of the problem:

$$\begin{cases} \theta \geq h & \text{in } (0, T) \\ \theta' \geq 0 & \text{in } (0, T) \\ \theta'(\theta - h) = 0 & \text{in } (0, T) \\ \theta(0) = \theta_0 \end{cases}$$

Setting:

$$V(t) = \int_0^t v(s) ds$$

we find

$$f(t) = V(t) + \max \left( y^0(1), \sup_{0 \leq s \leq t} (\psi(s) - V(s)) \right), \quad t \in (0, T - 1).$$

and

$$f(t) = \left[ \sup_{t \leq s \leq T} \psi(s) \right]^+, \quad T - 1 < t < T$$

A suitable choice of  $u$  in  $(0, T - 2)$  will allow continuity of  $f$  in  $t = T - 1$ .

# Comments and open problems

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- Does there exist a control  $u$  of minimal  $L^2$  norm in the case  $T > 2$ ?
- What is the connection between the controls obtained with the penalization method and the direct method?
- What is about the higher dimension?

$$\left\{ \begin{array}{ll} y'' - \Delta y = 0 & (0, T) \times \Omega \\ y = u & (0, T) \times \Gamma_0 \\ \text{Constraints} & (0, T) \times \Gamma_1 \\ \text{Initial data} & \Omega \end{array} \right. \textit{Data}$$

A "good" description of the Dirichlet-Neumann map should give a solution to this problem...